

Conflict Minerals Reporting Template (CMRT)

English

Revision 5.11
April 27, 2018

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Link to Terms & Conditions

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question. Company Information

Company Name (*):	LSI / LCDL / Voltarc					
Declaration Scope or Class (*):	A. Company					
Description of Scope:						
Company Unique ID:						
Company Unique ID Authority:						
Address:						
Contact Name (*):	Alyssa Malczynski					
Email - Contact (*):	amalczynski@light-sources.com					
Phone - Contact (*):	203-799-7877					
Authorizer (*):	Alyssa Malczynski					
Title - Authorizer:	Quality Manager					
Email - Authorizer (*):	amalczynski@light-sources.com					
Phone - Authorizer (*):	203-799-7877					
Effective Date (*):	27-Apr-2018					

Answer the following questions 1 - 7 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s)	or in
the production process? (*)	
T	antalı

Tantalum	
Tin (*)	
Gold	
Tungsten (*)	

Answer	
No	
Yes	
No	
Yes	

Comments			

2) Does any 3TG remain in the product(s)?	Answer	Comments
Tantalum		
Tin (*)	No	
Gold		
Tungsten (*)	No	
3) Do any of the smelters in your supply chain source the 3TG from		
the covered countries? (SEC term, see definitions tab)	Answer	Comments
Tantalum	Hiswei	Comments
Tin		
Gold		
Tungsten		
A) D 400 . (1) 000((
4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap		
sources?	Answer	Comments
Tantalum	Allswei	Comments
Tin		
Gold		
Tungsten		
Tungsten		
5) What percentage of relevant suppliers have provided a		
response to your supply chain survey?	Answer	Comments
Tantalum		
Tin		
Gold		
Tungsten		
6) Have you identified all of the smelters supplying the 3TG to		
your supply chain?	Answer	Comments
Tantalum		
Tin		
Gold		
Tungsten		
7) Has all applicable smelter information received by your		
company been reported in this declaration?	Answer	Comments
Tantalum	Allowei	Comments
Tin		
Gold		
Tungsten		
1 ungoven		
Answer t	he Following Questi	ions at a Company Level
Question	Answer	Comments
A. Have you established a conflict minerals sourcing policy?	Yes	
	·	

No	
No	
Yes	
No	<u>-</u>
Yes	_
Yes	
No	
	No No Yes Yes Yes

TO BEGIN:

Option A: If you know the Smelter Identification Number, input the number in Column A (columns B, C, E, F, G, I and J will auto-populate); D will grey out.

Option B: If you have a Metal and Smelter Look-up name combination, complete the following steps: Step 1. Select Metal in column B Step 2. Select from dropdown in column C (wrong combination will trigger RED color)

Option C: If you have a Metal and Smelter Name combination, complete the following steps: Step 1. Select Metal in column B
Step 2: Select "Smelter Not Listed" in the Smelter Look-up drop down and complete columns D & E Step 3. Enter all available smelter information in columns H through Q

(*) Mandatory fields are noted with an asterisk.
(1) Entry required when Smelter Look-up =
"Smelter not listed"

NOTE: A combination of Options A, B and C may be used to complete the Smelter List. Do not alter autopopulated cells. All errors in the Smelter Look-up should be reported to RMI by contacting RMI@responsiblebusiness.org.

Link to "RMAP Conformant Smelter List"



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Smelter Identification Number Input Column	Metal (*)	Smelter Look-up (*)	Smelter Name (1)	Smelter Country (*)	Smelter Identification	Source of Smelter Identification Number	Smelter Street	Smelter City	Smelter Facility Location: State / Province	Smelter Contact Name	Smelter Contact Email	Proposed next steps	Name of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"	(Country) of Mine(s) or if recycled or scrap sourced, enter "recycled" or "scrap"	100% of the smelter's feedstock originate from recycled or scrap sources?	Comments
	Tin	Smelter not yet identified	Amerway	UNITED STATES OF AMERICA												
	Tungsten	Smelter not yet identified	Osram	CZECH REPUBLIC												
	Tungsten	Smelter not yet identified	Radiant	UNITED STATES OF AMERICA												
	Tungsten	Smelter	Eggert	GERMANY												

	not yet identified								
Tungsten	Smelter not yet identified	Y&L	CHINA						

To ensure all required fields have been populated before submitting to your customers review form for any line items highlighted in red

Required fields remaining to be completed

0

Click here to return to Declaration tab

Required Fields	Answer provided	Notes	Hyperlink to source
Company Name (*):	LSI / LCDL / Voltarc	Complete	•
Declaration Scope or Class (*):	A. Company	Complete	
Description of Scope:		Complete	
Contact Name (*):	Alyssa Malczynski	Complete	
Email – Contact (*):	amalczynski@light-sources.com	Complete	
Phone – Contact (*):	203-799-7877	Complete	
Authorizer (*):	Alyssa Malczynski	Complete	
Email - Authorizer (*):	amalczynski@light-sources.com	Complete	
Phone - Authorizer (*):	203-799-7877	Complete	
Effective Date (*):	27-Apr-2018	Complete	

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)			
Tantalum	No	Complete	
Tin (*)	Yes	Complete	
Gold	No	Complete	
Tungsten (*)	Yes	Complete	
2) Does any 3TG remain in the product(s)?			
Tantalum		Complete	
Tin (*)	No	Complete	
Gold		Complete	
Tungsten (*)	No	Complete	

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab)		
Tantalum	Complete	
Tin	Complete	
Gold	Complete	
Tungsten	Complete	
4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources?		
Tantalum	Complete	
Tin	Complete	
Gold	Complete	
Tungsten	Complete	
5) What percentage of relevant suppliers have provided a response to your supply chain survey?		

Tantalum	Complete
Tin	Complete
Gold	Complete
Tungsten	Complete
6) Have you identified all of the smelters supplying the 3TG to your supply chain?	
Tantalum	Complete
Tin	Complete
Gold	Complete
Tungsten	Complete
7) Has all applicable smelter information received by your company been reported in this declaration?	

Tantalum		Complete	
Tin		Complete	
Gold		Complete	
Tungsten		Complete	
Question			
A. Have you established a conflict minerals sourcing policy?	Yes	Complete	
B. Is your conflict minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.)	No	Complete	
The URL in the comment field		Complete	
C. Do you require your direct suppliers to be DRC conflict-free?	No	Complete	
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program?	No	Complete	
E. Have you implemented due diligence measures for conflict-free sourcing?	Yes	Complete	

F. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)?	No	Complete	
G. Do you review due diligence information received from your suppliers against your company's expectations?	Yes	Complete	
H. Does your review process include corrective action management?	Yes	Complete	
I. Is your company required to file an annual conflict minerals disclosure with the SEC?	No	Complete	
Product List	No products or item numbers listed	Complete	
Smelter List - Tantalum		Not Required	
Smelter List - Tin		Complete	
Smelter List - Gold		Not Required	
Smelter List - Tungsten		Complete	
All rows with "Smelter not listed" selected, have a name and country listed		N/A	-